

DOCKET NO.: MSFT-0185/154569.1

PATENT



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

**In Re Application of:**

**Marco A. DeMello, et al.**

**Confirmation No.: 4140**

**Application No.: 09/604,222**

**Group Art Unit: 2131**

**Filing Date: June 27, 2000**

**Examiner: Vaughan, Michael R**

**For: METHOD AND SYSTEM FOR LIMITING THE USE OF USER-SPECIFIC  
SOFTWARE FEATURES**

**EXPRESS MAIL LABEL NO: EL 999299790 US**  
DATE OF DEPOSIT: October 25, 2004

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

**SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT**

Pursuant to 37 CFR § 1.56 and in accordance with 37 CFR §§ 1.97-1.98, information relating to the above-identified application is hereby disclosed. Inclusion of information in this statement is not to be construed as an admission that this information is material as that term is defined in 37 CFR § 1.56(b).

- ☒ In accordance with § 1.97(b), since this Information Disclosure Statement is being filed either within three months of the filing date of the above-identified application, within three months of the date of entry into the national stage of the above identified application as set forth in § 1.491, before the mailing date of a first Office Action on the merits of the above-identified application, or before the mailing date of a first Office Action after the filing of request for continued examination under § 1.114, no additional fee is required.

☐ In accordance with § 1.97(c), this Information Disclosure Statement is being filed after the period set forth in § 1.97(b) above but before the mailing date of either a Final Action under § 1.116 or a Notice of Allowance under § 1.311, or before an action that otherwise closes prosecution in the application, therefore:

☐ Certification in Accordance with § 1.97(e) is attached; or

☐ The fee of \$180.00 as set forth in § 1.17(p) is attached.

☐ In accordance with § 1.97(d), this Information Disclosure Statement is being filed after the mailing date of either a Final Action under § 1.113 or a Notice of Allowance under § 1.311 but before, or simultaneously with, the payment of the Issue Fee, therefore included are: Certification in Accordance with § 1.97(e); and the submission fee of \$180.00 as set forth in § 1.17(p).

☒ Copies of reference numbers **28, 39 thru 43, 55 thru 59** listed on the attached Form PTO-1449 are enclosed herewith.

☒ Copies of reference numbers **29 thru 38, 44 thru 54, 60 thru 63** on the attached Form PTO 1449 are not required to be submitted pursuant to 37 CFR § 1.98(a)(2)(i).

☐ Copies of references - are not being submitted because they were previously cited by or submitted to the U.S. Patent and Trademark Office in patent application number , filed for which a claim for priority under 35 U.S.C. § 120 has been made in the instant application.

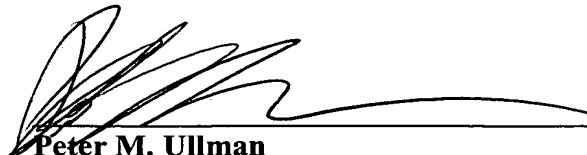
There are no listed references which are not in the English language.

**DOCKET NO.: MSFT-0185/154569.1 - 3 -**

**PATENT**

Please charge any deficiency or credit any overpayment to Deposit Account No. 23-3050. This form is submitted in duplicate.

Date: 10-25-04

  
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Sheet 1 of 4

<b>Form PTO-1449 Modified</b>  List of Patent and Publications Cited by Applicant (Use several sheets if necessary)  U.S. Department of Commerce Patent and Trademark Office		Docket No. <b>MSFT-0185/154569.1</b>	Application No. <b>09/604,222</b>
		Applicant <b>Marco A. DeMello, et al.</b>	
		Filing Date <b>June 27, 2000</b>	Group <b>2131</b>
		Confirmation No. <b>4140</b>	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>			
	<b>28</b>	Jaeger, T., "Flexible Control of Downloaded Executable Content", 1999, <i>ACM Transactions on Information and System Security</i> , 2(2), 177-228	
<b>EXAMINER</b>		<b>DATE CONSIDERED</b>	



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**U. S. PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Name	Class	Subclass
	29	2002/0002611 A1	01/03/02	Vange, Mark	709	223
	30	5,809,145	09/15/98	Slik, et al.	380	25
	31	5,883,955	03/16/99	Ronning	380	4
	32	6,088,717	07/11/00	Reed, et al.	709	201
	33	6,226,618 B1	05/01/01	Downs, et al.	705	1
	34	6,385,596 B1	05/07/02	Wiser, et al.	705	51
	35	6,425,017 B1	07/23/02	Dievendorff, et al.	709	315
	36	6,606,604 B1	08/12/03	Dutta, Rabindranath	705	26
	37	6,629,138 B1	09/30/03	Lambert, et al.	709	224
	38	6,718,361 B1	04/06/04	Basani, et al.	709	201

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO
	39	EP 0 795 809 A2	09/17/97	EPO		
	40	WO 00/21239	04/13/00	PCT		
	41	WO 96/42041	12/27/96	PCT		
	42	WO 98/45768	10/15/98	PCT		
	43	WO 99/63416	12/09/99	PCT		

**EXAMINER**

**DATE CONSIDERED**



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<b>U. S. PATENT DOCUMENTS</b>							
Examiner Initial		Document No.	Date	Name	Class	Subclass	
	<b>44</b>	2001/0011238 A1	08/02/01	Eberhard, et al.	705	27	
	<b>45</b>	5,509,070	04/16/96	Schull	380	4	
	<b>46</b>	5,708,780	01/13/98	Levergood, et al.	395	200.12	
	<b>48</b>	5,724,425	03/03/98	Chang, et al.	380	25	
	<b>48</b>	5,734,823	03/31/98	Saigh, et al.	395	200.06	
	<b>49</b>	5,734,891	03/31/98	Saigh	395	610	
	<b>50</b>	5,933,498	08/03/99	Schneck, et al.	380	4	
	<b>51</b>	5,970,475	10/19/99	Barnes, et al.	705	27	
	<b>52</b>	5,999,622	12/07/99	Yasukawa, et al.	380	4	
	<b>53</b>	6,021,492	02/01/00	May, Gregory J.	713	200	
	<b>54</b>	6,298,446 B1	10/02/01	Schreiber, et al.	713	201	
<b>FOREIGN PATENT DOCUMENTS</b>							
Examiner Initial		Document No.	Date	Country	Translation		
					YES	NO	
	<b>55</b>	EP 0 778 512 A2	06/11/97	EPO			
	<b>56</b>	EP 0 843 449 A2	05/20/98	EPO			
	<b>57</b>	WO 96/24092	08/08/96	PCT			
	<b>58</b>	WO 98/58306	12/23/98	PCT			
	<b>59</b>	WO 99/55055	12/28/99	PCT			
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Examiner Initial		Document No.	Date	Name	Class	Subclass
	60	5,530,235	06/25/96	Stefik, et al.	235	492
	61	5,625,693	04/29/97	Rohatgi, et al.	380	23
	62	6,199,053 B1	03/06/01	Herbert, et al.	705	76
	63	6,331,865 B1	12/18/01	Sachs, et al.	345	776

**FOREIGN PATENT DOCUMENTS**

Examiner Initial		Document No.	Date	Country	Translation	
					YES	NO

<b>EXAMINER</b>	<b>DATE CONSIDERED</b>
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